University of Minnesota – Information Security Exception Management: Process Flow Overview

<table>
<thead>
<tr>
<th>Intake</th>
<th>Evaluation</th>
<th>Approval</th>
<th>Monitoring &amp; Review</th>
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</thead>
<tbody>
<tr>
<td></td>
<td><strong>UIS Exception Review</strong></td>
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<tr>
<td>Request Exception</td>
<td>Review and Consult</td>
<td>Develop Remedy Plan</td>
<td>Evaluate Risk Level</td>
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<tr>
<td>Yes</td>
<td>Present Exception for Approval</td>
<td>Process Approval VP of OIT</td>
<td>Risk Acceptance Dean/unit Exec</td>
</tr>
<tr>
<td>No</td>
<td>Present Exception for Approval</td>
<td>Process Approval Dir of UIS</td>
<td>Risk Acceptance Service Owner/ Dean/unit Exec</td>
</tr>
<tr>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
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</tbody>
</table>

**Exception Management Continuous Processes**

- **Intake & Assessment**
- **Monitor Exceptions**
- **Maintain Dashboards & Metrics**
- **Exception Inbox**
- **Exception Reviews**
- **Executive Summary**
- **Exception IDs**
- **Exception Report**
- **Remediation Plan**
- **Exception Assessment**
- **Exception Approval**
- **Risk Acceptance**
- **Executive Summary**
- **Exception Review Schedule**
- **Exception Report**
- **Exception Inventory**
- **Exception Repository**